Exhibit 300: Part I: Summary Information and Justification (All Capital Assets)

I.A. Overview

1. Date of Submission:	8/14/2006
2. Agency:	Department of State
3. Bureau:	Bureau of Consular Affairs
4. Name of this Capital Asset:	Consular Support & Visa Applications (CSVA)
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)	014-00-01-03-01-1155-00
6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)	Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB?	FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Consular Support and Visa Applications (CSVA) project provides technical and end-user support for the full range of consular business processes along with automated systems to support consular management and the visa function in support of Homeland Security. This support is provided through operations and maintenance of existing consular automated systems, modification of existing consular automated systems to support Homeland Security initiatives, the purchase of hardware and systems software to support consular operations, the installation of hardware, systems software, and consular application software at approximately 231 overseas posts and domestic facilities, and the training of consular personnel in the use of the systems/applications. This effort is expended to enhance the efficiency and effectiveness of consular personnel involved in the processing and adjudication of visa applications and to provide visa data to other agencies involved in border security. This project has been underway since 1996 and will continue indefinitely. The FY08 submission addresses activities and budget estimates for the period 10/01/2007 through 09/30/2010. The project was initiated to replace the aging automated systems that supported consular business processes, to provide a consistent application and systems platform at all consular facilities, to improve system and process efficiency, and to enhance security. The project is reviewed annually by DoS/CA management to evaluate its performance, need, and applicability.

9. Did the Agency's Executive/Investment Committee approve this request?	Yes
a. If "yes," what was the date of this approval?	8/4/2006
10. Did the Project Manager review this Exhibit?	Yes
12. Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	No
a. Will this investment include electronic assets (including computers)?	Yes
b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
1. If "yes," is an ESPC or UESC being used to help fund this	

investment?	
2. If "yes," will this investment meet sustainable design principles?	
3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment support one of the PMA initiatives?	Yes
If "yes," check all that apply:	Expanded E-Government
13a. Briefly describe how this asset directly supports the identified initiative(s)?	CSVA supports e-Government initiative by providing the following web based solution: PKI enabled system for DHS/BCIS to request immigrant visa allocations; case status system for "J" visa waiver requests; on-line visa application forms; on-line application capability for the Diversity Visa program; information portal for the general public regarding visa and passport matters; and centrally hosted on-line appointment scheduling capability for non-immigrant visa applicants.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)	Yes
a. If "yes," does this investment address a weakness found during the PART review?	No
b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	Border Security Program - Visa and Consular Services
c. If "yes," what PART rating did it receive?	Effective
15. Is this investment for information technology?	Yes

If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance)	Level 2
17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):	(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?	No
19. Is this a financial management system?	No
a. If "yes," does this investment address a FFMIA compliance area?	No
1. If "yes," which compliance area:	
2. If "no," what does it address?	
b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	12

Software	1
Services	87
Other	0
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	Yes
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

I.D. Performance Information

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	**Strategic Goal(s) Supported**	**Performance Measure**	**Actual/baseline (from Previous Year)**	**Planned Performance Metric (Target)**	**Performance Metric Results (Actual)**
2006	new Project -see PRM table	new Project -see PRM table	new Project -see PRM table	new Project -see PRM table	new Project -see PRM table
2007	For 2007 items see PRM Table	For 2007 items see PRM Table	For 2007 items see PRM Table	For 2007 items see PRM Table	For 2007 items see PRM Table

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:						
Fiscal Year	**Measurement Area**	**Measurement Category**	**Measurement Grouping**	**Measurement Indicator**	**Baseline**	**Planned Improvement to the Baseline**	**Actual Results**

2001	Technology	Information and Data	Internal Data Sharing	Number of queries per day against the Consular Consolidated Database.	500 queries per day	2,000 queries per day	2,500 queries per day. Access to cases in the Consular Consolidated Database has allowed consular officers to make timely decisions based on the most current data.
2002	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of 221g refusal rate for immigrant visa applicants	29% INA section 221g refusal rate	20% 221g refusal rate	31% 221g refusal rate. The number of visa applicants subject to special clearance requirements increased significantly as a result of Border and Homeland Security initiatives after 9/11/2001, which negated benefit of expanded applicant pre-processing
2002	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	10% of all visa issuance data produced each day is provided to other border security agencies.	Increase to 100% visa issuance data provided per day.	100% visa issuance data provided per day. All visa issuance data provided to Interagency Border Information System (IBIS).
2003	Customer Results	Service Accessibility	Availability	Percentage of fee based consular transactions paid using credit card.	Credit cards constitute 0% of payment transactions	10% of Consular fee transactions accepted via credit card Planned Performance Improvement Goal.	15.2% fee transactions conducted via credit card at credit card enabled posts.
2003	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-immigrant visas issued using new more secure Lincoln Visa.	5% of non-immigrant visas issued	Increase to 100% issuance of Lincoln Visa	100% of visas issued using new Lincoln Visa.
2003	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Percentage of non-immigrant visa applications imaged.	0% non-immigrant visa applications imaged	50% non-immigrant visa applications imaged	1%. Soon after initiation of this effort, the Visa Office revised its document scanning policy to limit scanning only to Category 1 Refusals (serious refusals) and Security Advisory Opinion cases.
2004	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	English and Spanish languages supported at start of FY04.	Add support for two additional languages: Polish and Korean.	Polish and Korean implemented in April 2004. Site visits increased 105% in May 2004, in part due to the additional of the two new languages.
2004	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of immigrant visa issuing sites producing Machine Readable Immigrant Visas	0% of immigrant visa issuing sites producing Machine Readable Immigrant Visa	Increase to 80% of immigrant visa issuing sites	96% of immigrant visa sites issuing machine readable immigrant visas as of 09/30/2004. Project exceeded planned target by combining this effort with biometrics collection expansion project on same trip to posts.
2004	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-BCC visa issuing sites collecting expanded biometrics as required by The Enhanced Border Security and Visa Entry Reform Act of 2002, Sec. 303.	0% of non-BCC visa issuing sites collecting expanded biometric identifiers	Increase to 80% of visa issuing sites	97.5% of non-BCC posts collecting expanded biometric identifiers as of 09/30/2004. Project has exceeded target by accelerating deployment of NIV version 04.02.02 at no increase in cost.
2004	Mission and	Information and	IT Infrastructure	Percentage of consular sites	28% of consular sites	Maintain at least 28%	97.5% of sites visited for technology

	Business Results	Technology Management	Maintenance	receiving technology upgrades.	have technology upgrades (hardware/system software) each year.	technology refresh rate	upgrades as of 09/30/2004. Project exceeded planned 28% target due to requirement to upgrade all posts with biometric collection devices to comply with Enhanced Border Security and Visa Reform Act of 2002.
2004	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	97.5% of sites visited for refresh training as of 09/30/2004. Project exceeded planned 66% target due to requirement to train all posts on use of biometric collection devices to comply with Enhanced Border Security and Visa Reform Act of 2002.
2004	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	0% of all DV lottery visa applications submitted electronically	100% of DV lottery visa application forms submitted electronically	100% of Diversity Visa lottery application forms submitted electronically via e-DV for the FY2005 lottery, which closed on 12/31/2003. Future year lotteries will use e-DV as the sole means of accepting visa lottery applications.
2004	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of Security Advisory Opinion requests transmitted using modern data exchange technologies.	5% Security Advisory Opinion requests transmitted using modern data exchange technologies	75% Security Advisory Opinion requests transmitted using modern data exchange technologies	87% of Security Advisory Opinion requests transmitted to the FBI on a monthly basis using modern data exchange technologies as of 09/30/2004. Project exceeded projected target of 75% due to overestimate of the number of classified SOA requests.
2004	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	0% of all non-immigrant visa applications submitted in machine readable format	Increase to 5% of non-immigrant visa application forms submitted in machine readable format	11.6% of non-immigrant visa applications submitted in machine-readable format for FY2004 as of 09/30/2004. Planned target has been exceeded due to greater than expected acceptance of Internet based forms processing by customers.
2004	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% of daily visa issuance data provided to border security agencies.	Maintain at 100%.	100% visa issuance data provided per day to Interagency Border Information System (IBIS).
2004	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	97% Availability	Increase to 99%	Availability at 99% as of 09/30/2004. Acquisition of additional hardware/software has improved load balancing and fail-over capabilities.
2005	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	Four languages supported at the start of FY05: English, Spanish, Korean and Polish supported at start of FY05.	Add support for five additional languages: French, German, Japanese, Portuguese and TBD.	Four languages added as of June 30, 20005. As of 09/30/2005, business owner initiated moratorium on adding new language support still in effect.
2005	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of immigrant visa issuing sites producing Machine Readable Immigrant Visa.	80% of immigrant visa issuing sites	Increase to 100% of immigrant visa issuing sites	100% issuance of machine-readable immigrant visas achieved on 10/20/2004. All immigrant visas issued after 10/20/2004 will be

Year							
							machine-readable.
2005	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-BCC visa issuing sites collecting expanded biometric identifiers as required by The Enhanced Border Security and Visa Entry Reform Act of 2002, Sec. 303.	80% of visa issuing sites collecting expanded biometric identifiers	Increase to 100% of visa issuing sites	100% collection of expanded biometrics at visa issuing sites achieved on 10/20/2004. All visa applications processed after 10/20/2004 will include expanded biometrics.
2005	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	40% of consular sites visited for hardware/system software upgrades as of 09/30/2005. Project es technology upgrades are being combined with the refresh training on the same visit to post.
2005	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	65.5% of consular sites visited for refresh training as of 09/30/2005.
2005	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	100% e-DV Applications submitted electronically.	Maintain 100% e-DV electronic submission rate.	100% of Diversity Visa lottery application forms submitted electronically via e-DV for the FY2006 lottery, which closed on 01/05/2005.
2005	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	15% of all non-immigrant visa applications submitted in machine readable format	Increase to 20% of non-immigrant visa application forms submitted in machine readable format	30.92% of non-immigrant visa applications submitted in machine-readable format for FY2005 through 09/30/2005. Planned target has been exceeded due to greater than expected acceptance of Internet based forms processing by customers.
2005	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% visa issuance data shared with border security agencies.	Maintain at 100%	100% of visa issuance data being shared with other agencies involved in border security as of 09/30/2005.
2005	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	99% Availability	Increase to 99.9%	Additional hardware to enhance CCD redundancy and fail-over capability delivered and installed in February 2005. As of 09/30/2005, insufficient metrics have been collected to determine if the availability goal has been achieved.
2006	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	Eight languages supported.	Maintain support for eight languages.	Support provided for eight languages on the EVAF site as of 06/30/2006. Moratorium still in effect on adding additional language support.
2006	Customer Results	Service Coverage	New Customers and Market Penetration	Percentage of non-immigrant visa applicants scheduling interview appointment on-line.	0% non-immigrant visa applicants.	Increase to 5% of non-immigrant visa applicants	0% of non-immigrant visa applicants scheduling appointment on-line as of 06/30/2006. C&A completed. Pilot test expected to begin in July 2006.
2006	Mission and	Homeland	Border and	Percentage of non-BCC visa	100% collection of	Maintain at 100%	100% of biometric identifiers collected

	Business Results	Security	Transportation Security	issuing sites collecting expanded biometric identifiers as required by The Enhanced Border Security and Visa Entry Reform Act of 2002, Sec. 303.	biometric identifiers.		as of 06/30/2006.
2006	Mission and Business Results	Homeland Security	Border and Transportation Security	Number of visa issuing sites pilot testing the collection ten fingerprints for US VISIT check.	0 visa issuing sites pilot testing collection ten fingerprints	Increase to 3 visa issuing site pilot testing collection of ten fingerprints	1 visa issuing sites collecting ten fingerprints as of 06/30/2006. London pilot test scheduled to begin in July. Riyadh pilot scheduled to begin in September.
2006	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	33% of consular sites visited for technology upgrades as of 06/30/2006. Project exceeded target but at no significant increase in costs as workstation O/S upgrades being conducted on same trips as refresh training.
2006	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	55% of consular sites visited for refresh training as of 06/30/2006.
2006	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	100% e-DV Applications submitted electronically.	Maintain at 100%	100% of Diversity Visa lottery application forms submitted electronically via e-DV for the FY2006 lottery, which closed on 12/04/2005. A total of 5,565,562 applicants successfully submitted their application on-line.
2006	Processes and Activities	Productivity and Efficiency	Efficiency	Number of non-immigrant visa processing posts supported by central Internet based on-line appointment system.	0 posts supported	Increase to 20 posts supported.	0 posts supported as of 06/30/2006. C&A completed. Pilot test expected to begin in July 2006.
2006	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	30% of all non-immigrant visa applications submitted in machine readable format	Increase to 40% of non-immigrant visa application forms submitted in machine readable format	48.56% of non-immigrant visa applications submitted in machine-readable format for FY2006 through 06/30/2006. Results have exceeded expectations due to a substantial increase in web site usage this year. Out-year expectations adjusted accordingly.
2006	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% visa issuance data shared with border security agencies.	Maintain at 100%	100% of visa issuance data being shared with other agencies involved in border security as of 06/30/2006.
2006	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	99.9% availability	Maintain at 99.9%	99.9% availability achieved as of 06/30/2006.

2007	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	Eight languages supported.	Maintain support for eight languages.	
2007	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage visa issuing sites collecting expanded biometric identifiers as required by The Enhanced Border Security and Visa Entry Reform Act of 2002, Sec. 303.	100% collection of biometric identifiers.	Maintain at 100%	
2007	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of visa issuing sites collecting ten fingerprints for US VISIT check.	0% of immigrant visa issuing sites collecting ten fingerprints	Increase to 75% of visa issuing sites	
2007	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	
2007	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	
2007	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	100% DV Applications submitted electronically.	Maintain at 100%	
2007	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of visa and American Citizen services fraud case tracked using integrated fraud case tracking services.	0% of fraud cases tracked using integrated fraud case tacking services	Increase to 100% of fraud cases tracked using integrated fraud case tacking services	
2007	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	48% of all non-immigrant visa applications submitted in machine readable format	Increase to 55%	
2007	Technology	Information and Data	External Data Sharing	Percentage of visa issuance data provided to border security agencies each day.	100% visa issuance data shared with border security agencies.	Maintain at 100%	
2007	Technology	Reliability and Availability	Availability	Percentage availability of Consular Consolidated Database	99.9% availability	Maintain at 99.9%	
2008	Customer Results	Service Coverage	New Customers and Market Penetration	Number of languages supported on electronic visa application forms web site.	Eight languages supported.	Maintain support for eight languages.	
2008	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of visa issuing sites collecting ten fingerprints for US VISIT check.	75% of immigrant visa issuing sites collecting ten fingerprints	Increase to 100% of visa issuing sites	

2008	Mission and Business Results	Homeland Security	Border and Transportation Security	Percentage of non-BCC visa issuing sites collecting expanded biometric identifiers	100% collection of biometric identifiers.	Maintain at 100%	
2008	Mission and Business Results	Information and Technology Management	IT Infrastructure Maintenance	Percentage of consular sites receiving technology upgrades.	28% of consular sites have technology upgrades (hardware/system software) each year.	Maintain at least 28% technology refresh rate	
2008	Mission and Business Results	Workforce Management	Training and Employment	Percentage of consular sites visited for refresh training.	66% of consular sites visited each year for refresh training on consular automated systems	Maintain at least 66% training refresh rate for consular site training	
2008	Processes and Activities	Productivity and Efficiency	Efficiency	Percentage of DV lottery applications submitted electronically.	100% DV applications submitted electronically.	Maintain at 100%	
2008	Processes and Activities	Productivity and Efficiency	Productivity	Percentage of non-immigrant visa application forms submitted in machine-readable format.	55% of all non-immigrant visa applications submitted in machine readable format	Increase to 60%	

I.E. Security and Privacy

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system/s to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system/s.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:	Yes
a. If "yes," provide the "Percentage IT Security" for the budget year:	15
2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.	Yes

5. Have any weaknesses related to any of the systems part of or supporting this investment been identified by the agency or IG?	No
a. If "yes," have those weaknesses been incorporated agency's plan of action and milestone process?	No

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?   No

   a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

I.F. Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?   Yes

   a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?   Yes

   a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

The CSVA project is included in the Bureau of Consular Affairs (CA) target enterprise architecture and the CA Information Technology Plan (ITP) (CA's EA transition strategy). The CA target EA (application layer) was approved in May 2006 and approval of the CA ITP is forecast for August 2006; both approvals

are subsequent to submission of the department's EA self-assessment in February 2006.

b. If "no," please explain why?

3. Service Reference Model (SRM) Table:	
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.	

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
Property / Asset Management	Support the identification, planning and allocation of an organization's physical capital and resources	Back Office Services	Asset / Materials Management	Property / Asset Management			No Reuse	1
Data Classification	Allow the classification of data	Back Office Services	Data Management	Data Classification			No Reuse	1
Data Cleansing	Support the removal of incorrect or unnecessary characters and data from a data source	Back Office Services	Data Management	Data Cleansing			No Reuse	1
Data Exchange	Support the interchange of information between multiple systems or applications; includes verification that transmitted data was received unaltered	Back Office Services	Data Management	Data Exchange			External	3
Data Mart (New DoS Service)	Support a subset of a data warehouse for a single department or function within an organization	Back Office Services	Data Management	Data Mart			No Reuse	3
Data Recovery	Support the restoration and stabilization of data sets to a consistent, desired state	Back Office Services	Data Management	Data Recovery			No Reuse	1
Data Warehouse	Support the archiving and storage of large volumes of data	Back Office Services	Data Management	Data Warehouse			No Reuse	3
Extraction and Transformation	Support the manipulation and change of data	Back Office Services	Data Management	Extraction and Transformation			No Reuse	1
Loading and Archiving	Support the population of a data source with external data	Back Office Services	Data Management	Loading and Archiving			No Reuse	1
Data Integration	Support the organization of data from separate data sources into a single source	Back Office Services	Development and Integration	Data Integration			No Reuse	1

11

	using middleware or application integration as well as the modification of system data models to capture new information within a single system							
Enterprise Application Integration	Support the redesigning of disparate information systems into one system that uses a common set of data structures and rules	Back Office Services	Development and Integration	Enterprise Application Integration			No Reuse	3
Instrumentation and Testing	Support the validation of application or system capabilities and requirements	Back Office Services	Development and Integration	Instrumentation and Testing			No Reuse	4
Legacy Integration	Support the communication between newer generation hardware/software applications and the previous, major generation of hardware/software applications	Back Office Services	Development and Integration	Legacy Integration			No Reuse	3
Software Development	Support the creation of both graphical and process application or system software	Back Office Services	Development and Integration	Software Development			No Reuse	10
Education / Training	Support the active building of employee competencies, to include the range of training from professional development to general awareness training	Back Office Services	Human Resources	Education / Training			No Reuse	10
Data Mining	Provide for the efficient discovery of non-obvious, valuable patterns and relationships within a large collection of data	Business Analytical Services	Knowledge Discovery	Data Mining			No Reuse	2
Modeling (New DoS Service)	Develop descriptions to adequately explaim relevant data for the purpose of predictio, pattern detection, exploration or general organization of data	Business Analytical Services	Knowledge Discovery	Modeling			No Reuse	1
Ad Hoc	Support the use of dynamic reports on an as needed basis	Business Analytical Services	Reporting	Ad Hoc			No Reuse	1
OLAP (New DoS Service)	Support the analysis of information that has been summarized into multidimensional views and hierarchies	Business Analytical Services	Reporting	OLAP			No Reuse	1
Standardized / Canned	Support the use of pre-conceived or pre-written reports	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	1
Change Management (New DoS Service)	Control the process for updates or modifications to the existing documents, software or business processes of an organization	Business Management Services	Management of Processes	Change Management			No Reuse	1
Configuration Management (New DoS Service)	Control the hardware and software environments, as well as documents of an organization	Business Management Services	Management of Processes	Configuration Management			No Reuse	1
Program / Project Management (New DoS Service)	Manage and control a particular effort of an organization	Business Management Services	Management of Processes	Program / Project Management			Internal	2
Quality Management (New DoS Service)	Help determine the level that a product or service satisfies certain requirements	Business Management Services	Management of Processes	Quality Management			No Reuse	2

Requirements Management (New DoS Service)	Gather, analyze and fulfill the needs and prerequisites of an organization's efforts	Business Management Services	Management of Processes	Requirements Management			No Reuse	2
Reservations / Registration (New DoS Service)	Allow electronic enrollment and confirmations for services	Customer Services	Customer Initiated Assistance	Reservations / Registration			No Reuse	1
Self-Service (New DoS Service)	Allow an organization's customers to sign up for a particular service at their own initiative	Customer Services	Customer Initiated Assistance	Self-Service			No Reuse	1
Subscriptions	Allow a customer to join a forum, listserv, or mailing list	Customer Services	Customer Preferences	Subscriptions			No Reuse	1
Contact and Profile Management	Provide a comprehensive view of all customer interactions, including calls, email, correspondence and meetings; also provides for the maintenance of a customer's account, business and personal information	Customer Services	Customer Relationship Management	Contact and Profile Management			No Reuse	1
Content Authoring	Allow for the creation of tutorials, CBT courseware, web sites, CD-ROMs and other interactive programs	Digital Asset Services	Content Management	Content Authoring			No Reuse	1
Content Publishing and Delivery	Allow for the propogation of interactive programs	Digital Asset Services	Content Management	Content Publishing and Delivery			No Reuse	1
Content Review and Approval	Allow for the approval of interactive programs	Digital Asset Services	Content Management	Content Review and Approval			No Reuse	1
Tagging and Aggregation	Support the identification of specific content within a larger set of content for collection and summarization	Digital Asset Services	Content Management	Tagging and Aggregation			No Reuse	1
Document Imaging and OCR	Support the scanning of documents	Digital Asset Services	Document Management	Document Imaging and OCR			No Reuse	1
Document Referencing	Support the redirection to other documents and information for related content	Digital Asset Services	Document Management	Document Referencing			No Reuse	1
Document Review and Approval (New DoS Service)	Support the editing and commendation of documents before releasing them	Digital Asset Services	Document Management	Document Review and Approval			No Reuse	1
Library / Storage (New DoS Service)	Support document and data warehousing and archiving	Digital Asset Services	Document Management	Library / Storage			No Reuse	1
Categorization	Allow classification of data and information into specific layers or types to support an organization	Digital Asset Services	Knowledge Management	Categorization			No Reuse	1
Information Mapping / Taxonomy	Support the creation and maintenance of relationships between data entities, naming standards and categorization	Digital Asset Services	Knowledge Management	Information Mapping / Taxonomy			No Reuse	1
Information Retrieval	Allow access to data and information for use by an organization and its stakeholders	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	1
Information Sharing (New DoS Service)	Support the use of documents and data in a multi-user environment for use by an organization and its stakeholders	Digital Asset Services	Knowledge Management	Information Sharing			No Reuse	1
Knowledge Capture	Facilitate collection of data and information	Digital Asset	Knowledge	Knowledge			No Reuse	1

		Services	Management	Capture				
Knowledge Distribution and Delivery (New DoS Service)	Support the transfer of knowledge to the end customer.	Digital Asset Services	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	1
Knowledge Engineering (New DoS Service)	Support the translation of knowledge from an expert into the knowledge base of an expert system	Digital Asset Services	Knowledge Management	Knowledge Engineering			No Reuse	1
Document Classification	Support the categorization of documents and artifacts, both electronic and physical	Digital Asset Services	Records Management	Document Classification			No Reuse	1
Document Retirement	Support the termination or cancellation of documents and artifacts used by an organization and its stakeholders	Digital Asset Services	Records Management	Document Retirement			No Reuse	1
Record Linking / Association	Support the correlation between logical data and information sets	Digital Asset Services	Records Management	Record Linking / Association			No Reuse	1
Case Management (New DoS Service)	Manage the life cycle of a particular claim or investigation within an organization to include creating, routing, tracing, assignment and closing of a case as well as collaboration among case handlers	Process Automation Services	Tracking and Workflow	Case Management			No Reuse	2
Process Tracking (New DoS Service)	Allow the monitoring of activities within the business cycle	Process Automation Services	Tracking and Workflow	Process Tracking			No Reuse	2
Forms Modification (New DoS Service)	Support the maintenance of electronic or physical forms, templates and their respective elements and fields	Support Services	Collaboration	Document Library			Internal	1
Messaging and Email Services	The set of capabilities that support keyboard conferencing and the electronic exchange of messages, record traffic, correspondence, documents, or other information over a network or the Internet	Support Services	Communication	NEW			Internal	0
Video Teleconferencing Services	The set of capabilities that support video and audio communications sessions, that may also include graphics and data exchange, among people who are geographically dispersed	Support Services	Communication	NEW			Internal	0
Classification	Support selection and retrieval of records organized by shared characteristics in content or context	Support Services	Search	Classification			No Reuse	1
Pattern Matching (New DoS Service)	Support retrieval of records generated from a data source by imputing characteristics based on patterns in the content or context	Support Services	Search	Pattern Matching			No Reuse	1
Query	Support retrieval of records that satisfy specific query selection criteria	Support Services	Search	Query			No Reuse	1
Security Services	Process to include appropriate policies, executed to maintain the integrity of the organization's information security layers (i.e. confidentiality, integrity, access control, non-repudiation, identification and	Support Services	Security Management	Access Control			No Reuse	1

	authentication, audit, and system availability.)						
Security Services	Process to include appropriate policies, executed to maintain the integrity of the organization's information security layers (i.e. confidentiality, integrity, access control, non-repudiation, identification and authentication, audit, and system availability.)	Support Services	Security Management	Audit Trail Capture and Analysis		No Reuse	1
Security Services	Process to include appropriate policies, executed to maintain the integrity of the organization's information security layers (i.e. confidentiality, integrity, access control, non-repudiation, identification and authentication, audit, and system availability.)	Support Services	Security Management	Identification and Authentication		No Reuse	1
Information Security Training	A process created to inform and educate end users and IT professionals of the organization's security policies.	Support Services	Security Management	NEW		Internal	1
Continuity of Operations	The execution of contingency plans for operations during crisis, unforeseen circumstances, or disruptions in normal day-to-day operations.	Support Services	Security Management	NEW		No Reuse	1
Certification and Accreditation	A process by which agencies periodically: (i) assess the risk resulting from the operation of its systems; (ii) test and evaluate the security controls in those systems to determine control effectiveness and system vulnerabilities; and (iii) assess the information security programs supporting those systems.	Support Services	Security Management	NEW		Internal	1
Security Reporting	A process to collect, record, analyze and evaluate relevant security information, in order to inform managers and executives about the organization's security risks, position and compliance.	Support Services	Security Management	NEW		Internal	1
License Management (New DoS Service)	Support the purchase, upgrade and tracking of legal usage contracts for system software and applications	Support Services	Systems Management	License Management		Internal	1
Remote Systems Control (New DoS Service)	Support the monitoring, administration and usage of applications and enterprise systems from locations outside of the immediate system environment	Support Services	Systems Management	Remote Systems Control		No Reuse	1
Software Distribution (New DoS Service)	Support the propagation, installation and upgrade of written computer programs, applications and components	Support Services	Systems Management	Software Distribution		Internal	1

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

4. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component	**FEA TRM Service Area**	**FEA TRM Service Category**	**FEA TRM Service Standard**	**Service Specification (i.e. vendor or product name)**
Software Development	Component Framework	Business Logic	Platform Dependent	C-Sharp (C#)
Software Development	Component Framework	Business Logic	Platform Dependent	VB Script
Software Development	Component Framework	Business Logic	Platform Dependent	Visual Basic
Software Development	Component Framework	Business Logic	Platform Dependent	Visual Basic .Net (VB.Net)
Software Development	Component Framework	Business Logic	Platform Independent	Business Process Execution Language (BPEL)
Software Development	Component Framework	Business Logic	Platform Independent	C, C++
Software Development	Component Framework	Business Logic	Platform Independent	Java Portlet API
Software Development	Component Framework	Business Logic	Platform Independent	Java Servlet
Software Development	Component Framework	Business Logic	Platform Independent	JavaScript
Software Development	Component Framework	Business Logic	Platform Independent	WSRP
Data Exchange	Component Framework	Data Interchange	Data Exchange	SOAP
Data Exchange	Component Framework	Data Interchange	Data Exchange	Web Services User Interface (WSUI)
Data Exchange	Component Framework	Data Interchange	Data Exchange	XMI
Data Exchange	Component Framework	Data Interchange	Data Exchange	XML
Data Exchange	Component Framework	Data Management	Database Connectivity	Active Data Objects (ADO)
Data Exchange	Component Framework	Data Management	Database Connectivity	Active Data Objects .Net (ADO.Net)
Data Exchange	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Data Exchange	Component Framework	Data Management	Database Connectivity	Open Database Connectivity (ODBC)
OLAP	Component Framework	Data Management	Reporting and Analysis	Online Analytical Processing (OLAP)
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	XBRL
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	XML for Analysis
Content Publishing and Delivery	Component Framework	Presentation / Interface	Content Rendering	Cascading Style Sheet
Content Publishing and Delivery	Component Framework	Presentation / Interface	Content Rendering	Dynamic HTML (DHTML)
Content Publishing and	Component Framework	Presentation / Interface	Content Rendering	Extensible HTML (XHTML)

16

Delivery				
Content Publishing and Delivery	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages .Net (ASP.Net)
Content Publishing and Delivery	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)
Content Publishing and Delivery	Component Framework	Presentation / Interface	Static Display	Hyper Text Markup Language (HTML)
Access Control	Component Framework	Security	Certificates / Digital Signatures	Digital Certificate Authentication
Access Control	Component Framework	Security	Certificates / Digital Signatures	FIPS 186
Access Control	Component Framework	Security	Certificates / Digital Signatures	Secure Sockets Layer (SSL)
Cryptography	Component Framework	Security	Supporting Security Services	AES
Cryptography	Component Framework	Security	Supporting Security Services	Secure Multipurpose Internet Mail Extension (S/MIME)
Cryptography	Component Framework	Security	Supporting Security Services	Secure Shell (SSH)
Cryptography	Component Framework	Security	Supporting Security Services	Security Assertion Markup Language (SAML)
Cryptography	Component Framework	Security	Supporting Security Services	Simple Key Management Protocol (SKIP)
Cryptography	Component Framework	Security	Supporting Security Services	Transport Layer Security (TLS)
Cryptography	Component Framework	Security	Supporting Security Services	Web Services Security (WSS)
Email	Service Access and Delivery	Access Channels	Collaboration / Communications	Electronic Mail (E-mail)
Threaded Discussions	Service Access and Delivery	Access Channels	Collaboration / Communications	Facsimile (Fax)
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Enterprise Application Integration	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
Content Publishing and Delivery	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Blackberry
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Java OS/J2ME
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Palm Operating System
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Pocket PC
Access Control	Service Access and Delivery	Access Channels	Wireless / PDA	Windows CE
Information Sharing	Service Access and Delivery	Service Requirements	Hosting	External (ISP/ASP/FirstGov)
Information Sharing	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Content Publishing and Delivery	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Web Content Accessibility
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol (HTTP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol Secure (HTTPS)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	IP Security (IPSEC)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)

Data Exchange	Service Access and Delivery	Service Transport	Service Transport	UPD
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Wireless Application Protocol (WAP)
Enterprise Application Integration	Service Access and Delivery	Service Transport	Supporting Network Services	Directory Services (X.500)
Enterprise Application Integration	Service Access and Delivery	Service Transport	Supporting Network Services	Domain Name System (DNS)
Enterprise Application Integration	Service Access and Delivery	Service Transport	Supporting Network Services	Dynamic Host Configuration Protocol (DHCP)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	File Transfer Protocol (FTP)
Video Conferencing	Service Access and Delivery	Service Transport	Supporting Network Services	H.323
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Internet Message Access Protocol/Post Office Protocol (IMAP/POP3)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	LDAP
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Network Management Protocol (SNMP)
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Business Process Management/Message Queuing
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Data Transformation
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Load Balancing
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	MS Active Directory
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Web Services
Data Integration	Service Interface and Integration	Integration	Middleware	Database Access: ISQL/w
Data Integration	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Data Integration	Service Interface and Integration	Integration	Middleware	Database Access: PL/SQL
Data Integration	Service Interface and Integration	Integration	Middleware	Message Queuing
Data Integration	Service Interface and Integration	Integration	Middleware	Object Request Broker (ORB): Common Object Model (COM)
Data Integration	Service Interface and Integration	Integration	Middleware	Object Request Broker (ORB): Component Object Model + (COM+)
Data Integration	Service Interface and Integration	Integration	Middleware	Object Request Broker (ORB): Distributed Component Object Model (DCOM)
Data Integration	Service Interface and Integration	Integration	Middleware	Remote Procedure Call (RPC)
Data Integration	Service Interface and Integration	Integration	Middleware	SQL
Data Integration	Service Interface and Integration	Integration	Middleware	Transaction Processing Monitor

Software Development	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Software Development	Service Interface and Integration	Interface	Service Description / Interface	Web Services Description Language (WSDL)
Enterprise Application Integration	Service Interface and Integration	Interface	Service Discovery	Universal Description Discovery and Integration (UDDI)
Data Classification	Service Interface and Integration	Interoperability	Data Format / Classification	Extensible Markup Language (XML)
Data Classification	Service Interface and Integration	Interoperability	Data Format / Classification	XML Linking Language (XLINK)
Data Exchange	Service Interface and Integration	Interoperability	Data Transformation	Extensible Style Sheet Language Transformation (XSLT)
Pattern Matching	Service Interface and Integration	Interoperability	Data Types / Validation	Document Type Definition (DTD)
Pattern Matching	Service Interface and Integration	Interoperability	Data Types / Validation	XML Schema
Case Management	Service Platform and Infrastructure	Database / Storage	Database	MS SQL Server
Case Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Case Management	Service Platform and Infrastructure	Database / Storage	Storage	Network-Attached Storage (NAS)
Case Management	Service Platform and Infrastructure	Database / Storage	Storage	Storage Area Network (SAN)
Information Retrieval	Service Platform and Infrastructure	Delivery Servers	Application Servers	.Net Framework Server
Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	Apache
Content Publishing and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server (IIS)
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Ethernet
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Virtual LAN (VLAN)
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	IP Load Balancer
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Network Interface Card (NIC)
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Bar Code Scanner/Reader
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Digital Camera
Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Fingerprint Scanner
Content Publishing and Delivery	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Printer

19

Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Scanner
Configuration Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	IBM Websphere
Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Visual Studio
Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Visual Studio.Net
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Business Process Modeling Notation (BPMN)
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	IBM Rational Suite
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Telelogic System Architect
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Unified Modeling Language (UML)
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Workflow Modeler
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	IBM Rational Suite
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	MS Software Update Services (SUS)
Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	SMS
Remote Systems Control	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	SMS
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	IBM Rational Suite
Software Development	Service Platform and Infrastructure	Support Platforms	Platform Dependent	MS .Net Framework
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows 2003
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows XP
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	Java 2 Platform Micro Edition (J2ME)
Enterprise Application Integration	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	Windows CE Platform

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the

FEA TRM Service Standard, including model or version numbers, as appropriate.

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?   Yes

  a. If "yes," please describe.

Yes. All data and files to be passed to external agencies and organizations will be collected in a service area. Centralizing all exchange activity will allow CST to catalogue external agency needs, better evaluate data sharing requests and how to meet them, control data sharing, and plan how to reuse data sharing applications and routines used to interact with the external agencies and organizations and their various offices. Centralized service will also allow CST to see and manage the various means used for sending and receiving data (for example Internet portals, OSIS, etc.). We will continue to evaluate existing and proposed Government wide components and applications to determine if they can be leveraged to support the objectives of this project. External Reuse & Information Sharing: Visa data is shared with numerous other agencies either through direct access to the Consular Consolidated Database (CCD) via OSIS or through data transfer mechanisms for movement of data between agency systems. Specific information sharing/reuse initiatives supported by the CSVA project as identified in the project's SRM table as Data Exchange include: - Receipt of visa petition data from DHS's CLAIMS3 system on a daily basis - Transmission of visa issuance data to DHS (US-VISIT) on a real-time basis - Provide access to visa data to numerous DHS offices (USCIS, CBP, ICE, NTC) - Provide access to security advisory opinion request data to clearance partners (FBI, DHS, CIA) - Joint access/sharing of visa fraud data with DHS (FDNS) (planned for FY07) Note that the funding percentage cited in the SRM is for internal funding for these services. The project does not fund other agency's datasharing initiatives. Internal Reuse & Information Sharing: The CSVA project utilized several DoS internal services to accomplish project initiatives. These services include: - Program/Project Management: e-CPIC IT capital planning tool provided by IRM/EGov-PMO - Forms Modification: e-Forms forms design tool provided by A/ISS/DIR - Information Security Training: On-line training module provided by IRM/IA - Certification & Accreditation: Tools to manage CA input to the C&A process provided by IRM/I/A - License Management: ILMS provided by A - Software Distribution: SMS services provided by IRM Note that the funding percentage cited in the SRM is for internal project funding for these services. The project does not fund other internal DoS initiatives.

6. Does this investment provide the public with access to a government automated information system?   Yes

  a. If "yes," does customer access require specific software (e.g., a specific web browser version)?   No

    1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)

III.A. Risk Management

Part III should be completed only for investments which will be in "Operation and Maintenance" (Steady State) in FY 2008, i.e., selected the "Operation and Maintenance" choice in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?   Yes

a. If "yes," what is the date of the plan?	8/22/2006
b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?	No
c. If "yes," describe any significant changes:	

2. If there currently is no plan, will a plan be developed?

a. If "yes," what is the planned completion date?	
b. If "no," what is the strategy for managing the risks?	

III.B. Cost and Schedule Performance

1. Was operational analysis conducted?	No
a. If "yes," provide the date the analysis was completed.	
b. If "yes," what were the results?	

  c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

An Operational Analysis process and Guide has been developed and approved within the Department. The process will be used in several pilots during the 4th quarter of FY06 with the incorporation of the process into the Department's capital planning process by the end of the first quarter of FY07. An operation analysis of the CSVA project will be completed by the end of calendar year 2006.